

# Verint Financial Compliance Mobile Recording



## Now You Can:

- Compliantly capture regulated mobile calls and text messages.
- Centrally manage captured mobile interactions on a unified communications compliance platform.
- Boost employee productivity and business results simultaneously.
- Gain valuable data insights from mobile conversations using state-of-the-art, AI-powered transcription and speech analytics.

Increased fines based on stringent regulations—such as Dodd-Frank in the US or MiFID II in the EU—have compelled financial firms to extend their recording and surveillance efforts to trade-related mobile conversations. Now, a growing number of organizations are regulating and monitoring the use of client-owned mobile phones.

Verint® Financial Compliance Mobile Recording™ offers multiple methods for mobile call and SMS capture. It can record interactions from cellular networks in real-time using SIP-based recording technology (SIPREC), capture regulated mobile calls using a mobile app, or ingest the recorded phone calls directly from major network providers. The application also supports text message recording through reliable SMPP technology, so you can efficiently monitor both spoken and written regulated communications on your traders' mobile phones.

With an integrated, reliable mobile recording solution, your business can more confidently meet strict legal requirements to capture, store, and monitor mobile communications. These capabilities can help you avoid breaches, spot market abuse and insider trading, and mitigate conduct risk. And by providing traders and back-office employees with a compliant way to use mobile phones at work, you can boost productivity and business results.

Verint Financial Compliance Mobile Recording enables you to manage all captured mobile calls and text messages on a unified, single-pane-of-glass interface alongside your dealerboard and unified communications (UC) interactions. You can gain valuable data insights through market-leading communications profiling capabilities such as high-accuracy transcription trained for the financial markets, advanced speech analytics, and cutting-edge biometric features—all powered by AI.

**VERINT®**

## Proven Technologies for Mobile Call and SMS Capture

Verint Financial Compliance Mobile Recording offers multiple methods for capturing mobile calls and text messages. Our most comprehensive mobile recording solution utilizes SIP-based recording technology to capture calls and text messages in real time via 1GLOBAL's unique international infrastructure. Since this solution captures interactions on the network side, there is no need for a mobile application on the user's device, thus avoiding compliance gaps created by human error.

In addition, we offer application-based mobile call and SMS recording, as well as the ability to ingest captured voice conversations directly from major network operators such as Vodafone, O2, and Singtel.

Verint Financial Compliance Mobile Recording can also enable SMS recording using proven, industry standard SMPP technology.

## Increase Employee Productivity and Business Results

While limiting the ways your staff can conduct business may seem like a quick and simple solution from a compliance perspective, it can influence employee productivity and business results in the long run. Verint Financial Compliance Mobile Recording lets you provide traders and back-office employees with a compliant way to use mobile phones at work, at home, or on the move to boost productivity and business results.

Our fully aligned, integrated, and reliable mobile recording solution lets your business more confidently meet strict legal requirements to capture, store, and monitor mobile communications—alongside other voice data—to avoid breaches, spot market abuse and insider trading, and mitigate conduct risk.

## Benefit from a Unified Compliance Platform

With Verint Financial Compliance, you can manage each captured or ingested interaction on a unified, intuitive interface. This includes your employees' phone calls and texts, dealerboard conversations, written or spoken interactions on Microsoft Teams, and more. This holistic, single-pane-of-glass view of all regulated user's communications environment helps your compliance officers gain a better understanding of each interaction and how they align to each other and take action if necessary.

In addition, you can also benefit from state-of-the-art communications profiling and data insights capabilities, such as best-of-breed speech recognition, transcription, and analytics, as well as anonymized identity and sentiment insights powered by generative AI. By using these capabilities, you can analyze mobile interactions more quickly and efficiently, accurately reconstruct trade-related negotiations, and reduce the potential of conduct risk.



Learn more at  
[www.verint.com](http://www.verint.com)

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