

Verint Financial Compliance Advanced Archive



Now You Can:

- Retain and store your omnichannel communications and collaboration in a secure and easily retrievable way as per regulatory guidelines.
- Facilitate conformance with financial recordkeeping and surveillance policies to avoid non-compliance fines and penalties.
- Accelerate compliance investigations and provide regulators with complete records of trade-related communications in a timely manner.
- Save costs and drive efficiency through simplified administration, streamlined conversation retrieval, automated rules, and external storage integrations.

Finance and trading firms are mandated by regulators to store interactions and have them readily available as long as required by law. Consolidating and managing voice and electronic communication records in a secure archive for retrieval and analysis are critical for responding to compliance requests quickly and effectively—and for reducing risk.

Verint® Financial Compliance Advanced Archive™ is an enterprise-ready, multivendor-compatible solution designed to help financial organizations retain, retrieve, and manage recorded voice and electronic communications data from the trading floor, branch, and back office. It securely stores records and the related metadata originating from trader voice, unified communications and collaboration, and mobile platforms. Consequently, it can enable businesses to adhere to record-keeping, communications surveillance, and information security mandates imposed by financial regulations, data protection laws, and government policies. With this solution, you can:

- Store records to a wide set of industry standard NAS, SAN, and DAS storage systems.
- Centrally configure and automate storage and retention rules down to a per-user level.
- Retain all records in an immutable, tamper-proof format.
- Quickly search, retrieve, and replay voice, text, video, screen activities, and other content to reconstruct the complete communication chain related to a trade.
- Ensure that no recording is altered or deleted under legal hold during litigations and audits.
- Automatically categorize communications records.
- Run quality checks on regulated personnel to improve compliance processes.
- Secure recordings by controlling access to, encrypting, and digitally signing data.
- Keep track of the lifecycle of your archived media end-to-end with audit logs.

It's a practical way to help you simplify, modernize, and automate records retention and data governance.

VERINT®

Keep Your Recorded Data in Safe Hands

Your compliance, risk, and IT teams can use the solution as an end-to-end data management framework. You can easily set retention policies, protect data with strong security mechanisms, control access to your interactions, as well as search, replay, and categorize recordings or specific events in a recording across all channels—all from one, intuitive user interface. Verint Financial Compliance Advanced Archive is tamper-resistant and provides robust functionality to:

- Import data from multiple collaboration platforms and Verint or non-Verint recorders.
- Retrieve and play back conversations on a single interface.
- Leverage approval workflows and configure authorization requests for full access control.
- Collaborate on compliance cases with streamlined categorization.
- Speed up compliance investigations with real-time alerts.
- Ensure your regulated personnel are being recorded.
- Use advanced export to provide the regulators with auditable datasets.
- Gain a single view of all events, recording metrics, and system usage.

Thanks to these features, your organization can ensure the data is accessible and readily available upon a regulator's request while supporting your trade reconstruction and communications surveillance efforts.

Simplify Records Management

Designed to help banks, investment firms, and trading floors meet strict regulatory regimes, the solution provides the means to consolidate and preserve records in a single archive for any legally prescribed period. You can automatically configure data retention rules and apply advanced labeling to identify potential anomalies on the go.

The platform allows your team to centrally manage media files, thus easing administration and records management. Supported by a wide set of open integrations with third-party storage solutions—such as “Write Once Read Many” (WORM) archives and cloud-based storage targets—your business can keep using proprietary infrastructure and save significant costs associated with long-term archiving.

Support Compliance Excellence

Verint Financial Compliance Advanced Archive also helps your compliance officers and analysts become more efficient and agile in fulfilling compliance requests and managing the recorded data lifecycle. To help unlock actionable intelligence, the platform provides customizable dashboards and advanced reporting that offer a holistic view of actions and, flagged events and trends, and the ability to drill-down to specific cases and compliance breaches.



Learn more at
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